

**BRAMAN & ROGALSKYJ, LLP**  
ATTORNEYS AT LAWPO Box 352 216 Center Street  
Canandaigua, New York 14424-0352**FACSIMILE COVER SHEET****Please deliver immediately.**

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**TO:** Office of Initial Patent Examination  
Customer Service Center**TELECOPIER NUMBER:** 703-308-7751**Total Number of Pages (including this page):** 21**FROM:** Peter Rogalskyj**DATE:** July 3, 2002**COMMENTS:** Please see the attached FORMAL communication.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_**Client/Matter Number:** 015.00390

In the event of transmission problems, please call 716-393-3000.  
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**CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)**

Applicant(s):

Docket No.

015.00390 (BREYNE 9)

Serial No.  
09/706,122Filing Date  
November 3, 2000Examiner  
UnknownGroup Art Unit  
1712

Invention:

**NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM**I hereby certify that this Transmittal Letter (1 page)  
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(Date)Peter Rogalskyj

(Typed or Printed Name of Person Signing Certificate)

  
(Signature)

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**TRANSMITTAL LETTER**  
**(General - Patent Pending)**Docket No.  
015.00390 (BREYNE 9)

In Re Application Of:

Breyne et al.

Serial No.  
09/706,122Filing Date  
November 3, 2000Examiner  
UnknownGroup Art Unit  
1712

Title:

NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER  
COMPOSITIONS AND MATRICES CONTAINING THEMTO THE ASSISTANT COMMISSIONER FOR PATENTS:

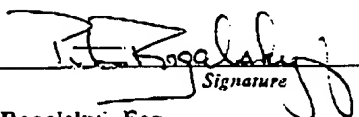
Transmitted herewith is:

Petition to Withdraw Holding of Abandonment (4 pages); copies of a Status Inquiry and of a date-stamped, returned postcard, acknowledging receipt of the Status Inquiry; copies of (i) a Submission of Missing Parts & Surcharge, (ii) a copy of the Notice to File Missing Parts, and (iii) a signed "Declaration in Original Application", each as mailed on March 23, 2001; a copy of the returned acknowledgment postcard bearing a date stamp of March 26, 2001; a copy of a redacted Monthly Statement of Deposit Account; and certificates of facsimile transmission for all

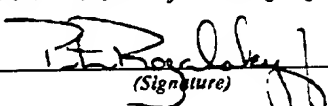
in the above identified application.

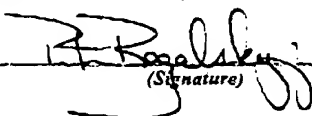
- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0772 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Dated: July 3, 2002

  
Peter Rogalskyj, Esq.  
Reg. No. 38,601  
Braman & Rogalskyj, LLP  
P.O. Box 352  
Canandaigua, New York 14424-0352  
Tel.: 716-393-3004  
Fax: 716-393-3001

cc:

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s):			015.00390 (BREYNE 9)
Serial No. 09/706,122	Filing Date November 3, 2000	Examiner Unknown	Group Art Unit 1712
Invention: NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM			
I hereby certify that this <u>Petition to Withdraw Holding of Abandonment (4 pages)</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-308-7751</u> ) on <u>July 3, 2002</u> (Date)			
<div>Peter Rogalskyj (Typed or Printed Name of Person Signing Certificate)</div> <div> (Signature)</div>			
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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s):			015.00390 (BREYNE 9)
Serial No. 09/706,122	Filing Date November 3, 2000	Examiner Unknown	Group Art Unit 1712
Invention: NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM			
<p>I hereby certify that this <u>Copy of a Status Inquiry, as mailed on January 18, 2002 (1 page)</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-308-7751</u>)</p> <p>on <u>July 3, 2002</u> (Date)</p> <p><u>Peter Rogalskyj</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u></u> (Signature)</p>			
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Breyne et al.  
Serial No.: 09/706,122  
Filing Date: November 3, 2000  
Title: NAPHTHOPYRANS WITH A  
HETEROCYCLE IN THE 5, 6-POSITION,  
PREPARATION AND (CO)POLYMER  
COMPOSITIONS AND MATRICES  
CONTAINING THEM

Group Art Unit: TBA  
Examiner: TBA  
If Applicable  
Appeal No.: n/a

Assistant Commissioner for Patents and Trademarks  
Washington, DC 20231

## STATUS INQUIRY

**COPY**

1. More than 14 months have passed since

☒ **NEW APPLICATIONS**

the filing of this application on November 3, 2000.

No communication has been received from the Patent and Trademark Office indicating action on this application.

☐ **AMENDED APPLICATIONS**

the filing of a response on \_\_\_\_\_.

No further communication has been received from the Patent and Trademark Office.

☐ **APPEALED APPLICATION**

the Appeal Brief was filed on \_\_\_\_\_.

☐ An Examiner's Answer was mailed on \_\_\_\_\_.

☐ A Reply to the Examiner's Answer was submitted on \_\_\_\_\_.

☐ **ALLOWED APPLICATIONS**

the mailing of FORM PTO-37 and/or Examiner's Amendment on \_\_\_\_\_.

2. Kindly advise the undersigned of the present status of this application.

Respectfully submitted,

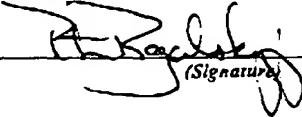
DATE: 1/17/02  
ANN/cms

Date of Deposit: November 16, 2000

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated above with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, DC 20231

Signature \_\_\_\_\_

Angela N. Nwaneri  
Attorney for Assignee  
Angela N. Nwaneri  
Reg. No. 34,229  
Corning Incorporated  
SP-TI-03  
Corning, NY 14831  
(607) 974-3455

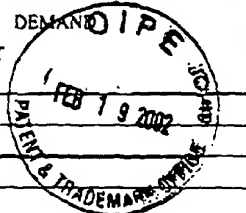
CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s):			015.00390 (BREYNE 9)
Serial No. 09/706,122	Filing Date November 3, 2000	Examiner Unknown	Group Art Unit 1712
Invention: NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM			
<p>I hereby certify that this <u>Copy of a date-stamped, returned postcard relating to the Status Inquiry (1 page)</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-308-7751</u>)</p> <p>on <u>July 3, 2002</u> (Date)</p> <p><u>Peter Rogalskyj</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u></u> (Signature)</p>			
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Division 354T Date 1-19-02  
INVENTOR Breyne et al  
CASE No. 9  
SERIAL No. 091 706, 122  
FILED 11-5-00  
ATTORNEY ANN

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☐ PRIOR ART STATEMENT  
☐ LETTER - CHARGE DEPOSIT ACCOUNT  
☐ AMENDMENT ☐ RESPONSE  
☐ ISSUE FEE  
☐ CERT. OF CORR. ☐ NOTICE OF ERROR  
☐ REQUEST ☐ DEMAND  
☐ INVITATION TO CORRECT  
☒ Status Inquiry  
☐ \_\_\_\_\_  
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ET-149137



CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s):			015.00390 (BREYNE 9)
Serial No. 09/706,122	Filing Date November 3, 2000	Examiner Unknown	Group Art Unit 1712
Invention: NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM			
<p>I hereby certify that this</p> <p>Copies of (i) a "Submission of Missing Parts &amp; Surcharge; (ii) a copy of the January 25, 2001, Notice to File Missing Parts; and (iii) a signed "Declaration in Original Application", each as mailed on March 23, 2001 (4 pages total)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-308-7751</u>)</p> <p>on <u>July 3, 2002</u> (Date)</p> <p><u>Peter Rogalskyj</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u></u> (Signature)</p> <p>Note: Each paper must have its own certificate of mailing.</p>			

PATENT  
Breyne 9

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Olivier Breyne et al.

SUBMISSION of  
MISSING PARTS

Serial No.: 09/706,121

Group Art Unit: 1712

Filing Date: November 3, 2000

Title: NAPHTHOPYRANS WITH A  
HETEROCYCLE IN THE 5, 6-POSITION,  
PREPARATION, AND (CO) POLYMER  
COMPOSITIONS AND MATRICES  
CONTAINING THEM.SUBMISSION OF MISSING PARTS & SURCHARGE  
UNDER 37 C.F.R. 1.53(d) AND C.F.R. 1.16(e)**COPY**Attn: Application Processing Division  
Assistant Commissioner for Patents  
Washington, DC 20231

Filed herewith are:

- ☒ Assignment  
☒ Declaration  
☐ Power of Attorney by Assignee w/Assignment attached  
☒ PTO Form 1449  
☐ OTHER:

Corning Incorporated hereby authorizes the Asst. Commissioner to charge payment of any fees associated with this application or credit any overpayment to Corning Incorporated Deposit Account 03-3325. A duplicate copy of this sheet is enclosed.

DATE: 3/23/2001Date of Deposit: 3-23-01

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated above with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, DC 20231

Signature Colleen E. Doherty

Colleen Doherty

Respectfully submitted,



Attorney for Assignee  
Edward F. Murphy  
Reg. No. 38,251  
Corning Incorporated  
SP-TI-03  
Corning, NY 14831  
(607) 974-3716



## UNITED STATES PATENT AND TRADEMARK OFFICE

**COPY**COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/706,122	11/03/2000	Olivier Breyne	Breyne 9

Corning Incorporated  
SP-TI-3  
Corning, NY 14831

## FORMALITIES LETTER

  
"OC000000005704450"

Date Mailed: 01/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)**

Applicant(s):

Docket No.

015.00390 (BREYNE 9)

Serial No.

09/706,122

Filing Date

November 3, 2000

Examiner

Unknown

Group Art Unit

1712

Invention:

**NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM**

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on July 3, 2002  
(Date)

Peter Rogalskyj

(Typed or Printed Name of Person Signing Certificate)

  
(Signature)

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Division PT Date 3-23-01  
INVENTOR ROGALSKYJ  
CASE No. 7-3  
SERIAL No. 39/736,121  
FILED 3-23-01  
ATTORNEY BR

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FORMAL/INFORMAL FIGURE NOS. \_\_\_\_\_

☒ ASSIGNMENT

☒ DECLARATION/OATH

☐ POWER OF ATTORNEY

☐ PRIOR ART STATEMENT

☐ LETTER - CHARGE DEPOSIT ACCOUNT

☐ AMENDMENT

☐ RESPONSE

☐ ISSUE FEE

☐ CERT. OF CORR.

☐ NOTICE OF ERROR

☐ REQUEST

☐ DEMAND

☐ INVITATION TO CORRECT

☐

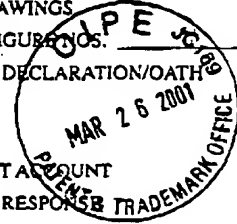
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ET-149137



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Peter Rogalskyj

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